

CERTIFICATE OF MAILING

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Julie M. Balot

07/17 Rec'd PCT/PTO '24 JUL 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Brian E. McGinnis et al.	Attorney Docket No.: 3COM 2487-3US
Application No.: 10/019,988	Examiner: Unknown
Confirmation No.: 5980	Group: Unknown
Int'l Appl. Filing Date: May 9, 2000	
Title: METHOD AND SYSTEM FOR NETWORK MANAGEMENT	

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS
UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE**

BOX PCT
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notification of Missing Requirements dated March 22, 2002, enclosed please find:

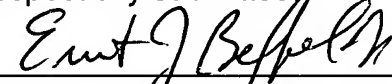
1. Form PCT/DO/EO/905 (copy of 371 Formalities Notice);
2. Five Declarations for Utility Patent Application signed by the inventors;
3. Five Assignments signed by Inventor with Form PTO-1595;
4. A Power of Attorney or Authorization of Agent by Assignee;
5. Statement Under 37 CFR 3.37(b) with copies of executed Assignments;
6. Application Data Sheet;
7. Petition for Extension of Time Under 37 CFR 1.136(a) (two months);
8. Fee Transmittal for FY 2002; and
9. Check No. 1875 in the amount of \$1,214.00.

The Commissioner is hereby authorized to charge any additional fees that may be required and to credit any overpayment, to Deposit Account No. 50-0869 (Docket No. 3COM 2487-3US). A duplicate of this paper is enclosed.

Date: 18 July 2002

HAYNES BEFFEL & WOLFELD LLP
P.O. Box 366
Half Moon Bay, California 94019
Phone: 650-712-0340/Fax: 650-712-0263

Respectfully submitted,


Ernest J. Beffel, Jr., Reg. No. 43,489

APR - 1 2002

RECEIVED



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/019,988	Brian Evan McGinnis	3COM 2487-3US

INTERNATIONAL APPLICATION NO.

PCT/US00/12696

LA. FILING DATE	PRIORITY DATE
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05/09/2000

Haynes Beffel & Wolfeld
PO Box 366
Half Moon Bay, CA 94019**COPY**

CONFIRMATION NO. 5980

371 FORMALITIES LETTER



OC000000007680223

Date Mailed: 03/22/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

HAYNES BEFFEL & WOLFELD LLP

DOCKETED: 4/1/02 BY: DJ/ANB
ACTION: US MISSING REQUIREMENTS
DUE DATES: DUE - 5/22/02
FINAL - 9/22/02
DOCKET NO: 3C 2487 3

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Additionally the following defects have been observed:

- Additional claim fees of \$774 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$774 for a Large Entity:

- Total additional claim fee(s) for this application is \$774
 - \$774 for 43 total claims over 20.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/019,988	PCT/US00/12696	3COM 2487-3US

FORM PCT/DO/EO/905 (371 Formalities Notice)

07/31/2002 SHAJARRO 00000117 10019988

01 FC:966

774.00 OP

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/019,988
Filing Date	05/09/2000
First Named Inventor	Brian E. McGinnis
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	3COM 2487-3US

TOTAL AMOUNT OF PAYMENT (\$) 1214.00

METHOD OF PAYMENT

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0869
Deposit Account Name	Haynes Beffel & Wolfeld LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370		Utility filing fee	
106 330	206 165		Design filing fee	
107 510	207 255		Plant filing fee	
108 740	208 370		Reissue filing fee	
114 160	214 80		Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
63	-20** = 43	18.00	774.00
3	-3** = 0	0.00	0.00
Multiple Dependent		0.00	0.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9		Claims in excess of 20
102 84	202 42		Independent claims in excess of 3
104 280	204 140		Multiple dependent claim, if not paid
109 84	209 42		** Reissue independent claims over original patent
110 18	210 9		** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 774.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	400.00
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 440.00

SUBMITTED BY

Name (Print/Type)	Ernest J. Beffel, Jr.	Registration No. (Attorney/Agent)	43,489	Telephone	650-712-0340
Signature	<i>Ernest J. Beffel, Jr.</i>	Date	07/18/2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.